

13 January 2020

Maria Clemente  
Advisor, Listings Compliance (Sydney)  
ASX Limited

Dear Maria

**Re: AnteoTech Limited (the 'Company')  
Response to ASX Price Query**

We refer to your letter dated 10 January 2020 concerning recent movements in the price of listed securities in AnteoTech Limited and the volumes trades (**Price Query**).

In answer to the questions put to the Company in the Price Query, we respond as follows:

1. The Company is not aware of any information concerning it that has not been announced to the market which, if known by someone in the market, could be an explanation for recent trading in the securities of the Company.
2. Not applicable.
3. Regarding the recent price and volume changes in securities traded, the Company is not aware of any specific explanation.

Over recent months the Company has made the following announcements that continue to highlight the Company's positive progress:

- 16-Dec-19: Battery Program Update
  - 28-Nov-19: IMRA Contract Additional Information
  - 18-Nov-19: AnteoTech signs commercial contract with IMRA America Inc
  - The news released to the ASX on 16th December detailed the business development activities under the Battery Program for AnteoTech over the coming two quarters and no change has occurred to that update in the intervening period.
  - AnteoTech are working to deliver technical solutions for higher energy density Lithium-ion batteries a market that is currently seeing a global shift led by the growth and transition to Electric Vehicles in addition to solutions for the enhancement of Point of Care diagnostic testing evidenced by the IMRA announcements.
4. The Directors believe that the Company is in compliance with the Listing Rules and in particular, Listing Rule 3.1.
  5. The Company's responses to the ASX's questions above have been authorised and approved in accordance with the Company's published continuous disclosure policy and by its board of directors.

If you have any further queries, please do not hesitate to contact me.

Yours faithfully  
AnteoTech Limited



Duncan Cornish  
Company Secretary, On behalf of the Board

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10 January 2020

Reference: 012454

Mr Duncan Cornish  
Chief Financial Officer and Company Secretary  
AnteoTech Ltd

By email: [dcornish@corpservices.com.au](mailto:dcornish@corpservices.com.au)

Dear Mr Cornish

### **AnteoTech Ltd ('ADO'): Price Query**

We note the change in the price of ADO's securities from a low of \$0.018 on 27 December 2019 to a high of \$0.031 today.

We also note the significant increase in the volume of ADO's securities traded since the start of trading today.

### **Request for Information**

In light of this, ASX asks ADO to respond separately to each of the following questions and requests for information:

1. Is ADO aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is ADO relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in ADO's securities would suggest to ASX that such information may have ceased to be confidential and therefore ADO may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that ADO may have for the recent trading in its securities?
4. Please confirm that ADO is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that ADO's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of ADO with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:00 AM AEDT on Monday 13 January 2020**. If we do not have your response by then, ASX will likely suspend trading in ADO's securities under Listing Rule 17.3. You should note that if the

information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, ADO's obligation is to disclose the information "immediately". This may require the information to be disclosed before the deadline set out in the previous paragraph.

ASX reserves the right to release a copy of this letter and your response on the ASX Market Announcements Platform under Listing Rule 18.7A. Accordingly, your response should be in a form suitable for release to the market. Your response should be sent to me by e-mail at [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Listing Rules 3.1 and 3.1A**

Listing Rule 3.1 requires a listed entity to give ASX immediately any information concerning it that a reasonable person would expect to have a material effect on the price or value of the entity's securities. Exceptions to this requirement are set out in Listing Rule 3.1A. In responding to this letter, you should have regard to ADO's obligations under Listing Rules 3.1 and 3.1A and also to Guidance *Note 8 Continuous Disclosure: Listing Rules 3.1 – 3.1B*. It should be noted that ADO's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in ADO's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We may require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted.

You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above ASX will likely suspend trading in ADO's securities under Listing Rule 17.3.

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**Enquiries**

If you have any queries or concerns about any of the above, please contact me immediately.

Yours sincerely

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**Maria Clemente**  
Adviser, Listings Compliance (Sydney)